



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/073,160	02/13/2002	Hartmut Strobel	04734.0003	4730
5487	7590 09/09/2004		EXAM	INER
ROSS J. OEHLER AVENTIS PHARMACEUTICALS INC.			SAEED, K	AMAL A
ROUTE 202		ART UNIT	PAPER NUMBER	
MAIL CODE: D303A			1626	
BRIDGEWATER, NJ 08807			DATE MAILED: 09/09/2004	4 .

Please find below and/or attached an Office communication concerning this application or proceeding.

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## Office Action Summary

Applicant(s)	
STROBEL ET AL.	
Art Unit	
1626	
	STROBEL ET AL.

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address -- Period for Reply

# A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE $\underline{3}$ MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.

<ul> <li>If the period for reply specified above is less than thirty (30) days, a reply within the standard to the period for reply is specified above, the maximum statutory period will apply and</li> <li>Failure to reply within the set or extended period for reply will, by statute, cause the appropriate term adjustment. See 37 CFR 1.704(b).</li> </ul>	will expire SIX (6) MONTHS from the mailing date of this communication oplication to become ABANDONED (35 U.S.C. § 133).			
Status				
1) Responsive to communication(s) filed on 25 May 2004.				
2a) This action is <b>FINAL</b> . 2b) This action is	non-final.			
3) Since this application is in condition for allowance excep	ot for formal matters, prosecution as to the merits is			
closed in accordance with the practice under Ex parte Q	Quayle, 1935 C.D. 11, 453 O.G. 213.			
Disposition of Claims				
4) Claim(s) 1-23 is/are pending in the application.				
4a) Of the above claim(s) 7-20 and 23 is/are withdrawn f	rom consideration.			
5) Claim(s) is/are allowed.				
6) Claim(s) is/are rejected.				
7)⊠ Claim(s) <u>1-6, 21 and 22</u> is/are objected to.				
8) Claim(s) are subject to restriction and/or election	requirement.			
Application Papers				
9) The specification is objected to by the Examiner.				
10) The drawing(s) filed on is/are: a) accepted or b	o) objected to by the Examiner.			
Applicant may not request that any objection to the drawing(s)	be held in abeyance. See 37 CFR 1.85(a).			
Replacement drawing sheet(s) including the correction is requ	ired if the drawing(s) is objected to. See 37 CFR 1.121(d)			
11) The oath or declaration is objected to by the Examiner.	Note the attached Office Action or form PTO-152.			
Priority under 35 U.S.C. § 119				
12) Acknowledgment is made of a claim for foreign priority un	nder 35 U.S.C. § 119(a)-(d) or (f).			
a) ☐ All b) ☐ Some * c) ☐ None of:				
1. Certified copies of the priority documents have be				
2. Certified copies of the priority documents have be				
3. Copies of the certified copies of the priority docum	-			
application from the International Bureau (PCT Ru	• • • • • • • • • • • • • • • • • • • •			
* See the attached detailed Office action for a list of the cer	tified copies not received.			
Attachment(s)				
1) Notice of References Cited (PTO-892)	4) Interview Summary (PTO-413)			
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)	Paper No(s)/Mail Date  5) Notice of Informal Patent Application (PTO-152)			
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)	o Communication (F10-132)			

Paper No(s)/Mail Date \_\_\_\_

6)	Other:
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#### **DETAILED ACTION**

Claims 1-23 are pending in this application. Claims 7- 20 and 23 are withdrawn from further consideration by the Examiner, 37 C.F.R. § 1.142(b), as being drawn to a non-elected invention. The withdrawn subject matter is patentably distinct from the elected subject matter as it differs in structure and element and would require separate search considerations. In addition, a reference which anticipates one group would not render obvious the other.

### Response to Applicants Argument

Applicant's arguments filed May 28, 2004 have been fully considered but they are not persuasive with respect to the restriction requirement. Applicants argue that the examiner has arbitrarily picked a number of species from the claims selected subject matter. This is not persuasive. The Examiner has indicated that more than one independent and distinct invention is claimed in this application and has restricted (limited) the claimed subject matter accordingly. For example, the claims encompass heteroaryl groups which are variously classified, therefore "heteroaryl" has been restricted out of the generic embodiment identified for examination. The following is an illustration of the varied classification of the heteroaryl groups: pyrazinyl is classified in class 544 and subclass 336+; pyrimidinyl is clasified in class 544 and subclass 242+; pyridinyl is classified in class 546 and subclass 268.1+; oxazolyl is classified in class 548 and subclass 215+; thiazolyl is classified in class 548 and subclass 146+; etc... Thus, the requirement to restrict the claims in this application is predicated on the fact that the claimed subject matter involves more than one independent and distinct invention. No where to Applicants argue to the contrary. No where do Applicants point out and give reasons why the claims do not involve independent or distinct subject matter. Accordingly, the restriction is

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proper. Moreover, it would constitute a burden to extend the search because separate search considerations would be involved in both the U.S. Patents and in the literature. The examination process following the search could easily result in different and thus burdensome considerations.

The restriction requirement here is predicated on the premise that the various compounds involved differ in structure and element so much so as to be patentably distinct, i.e. a reference which anticipated the elected compounds claimed would not even render obvious the others.

Again, 35 U.S.C. 121 gives the Commissioner (Director) the authority to limit the examination of an application to a single invention. Applicant has not presented evidence that the examined subject matter is patentably indistinct from the non-examined subject matter. Nor have the even argued to the contrary. Moreover, the sheer number of variables, their huge possibilities, and the almost incomprehensible number of permutations and combinations thereof result in compounds so numerous and diverse so as to be a burden just to classify, search, and examine. Accordingly, the requirement to restrict is considered proper and is maintained. The search and examination of the application is directed to the generic embodiment identified for examination only.

However, even though an art rejection has not been made in this application, the requirement for restriction is maintained and the search has not been extended. It is pointed out that the restriction requirement is made under 35 U.S.C. 121. 35 U.S.C. 121 gives the Commissioner (Director) the authority to limit the examination of an application where two or more independent and distinct inventions are claimed to only one invention. burden to extend the search because separate search considerations would be involved in both the U.S. Patents and in the literature. The examination process following the search could easily result in different and thus burdensome considerations.

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If Applicant want to elect a different species then a single compound is required including an exact definition of each substitution on the base molecule (Formula (I)), wherein a single member at each substituent group or moiety is selected. For example, if a base molecule has a substituent group R1, wherein R1 is recited to be any one of H, OH, COOH, aryl, alkoxy, halogen, amino, etc., then applicant must select a single substituent f R1, for example OH or aryl and each subsequent variable position. In the instant case, upon election of a single compound, the Office will review the claims and disclosure to determine the scope of the independent invention encompassing the elected compound (compounds which are so similar thereto as to be within the same inventive concept and reduction to practice). The scope of an independent invention will encompass all compounds within the scope of the claim, which fall into the same class and subclass as the elected compound, but may also include additional compounds, which fall in related subclasses. Examination will then proceed on the elected compound AND the entire scope of the invention encompassing the elected compound as defined by common classification. A clear statement of the examined invention, defined by those class(es) and subclass(es) will be set forth in the first action on the merits. Note that the restriction requirement will not be made final until such time as applicant is informed of the full scope of compounds along with (if appropriate) the process of using or making said compound under examination. This will be set forth by reference to specific class(es) and subclass(es) examined. Should applicant traverse on the ground that the compound are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the compound to be obvious variants or clearly admit on the record that this is the case. In either instance, if the

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examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other.

All compounds falling outside the class(es) and subclass(es) of the selected compound and any other subclass encompassed by the election above will be directed to nonelected subject matter and will be withdrawn from consideration under 35 U.S.C. 121 and 37 C.F.R. 1.142(b). Applicant may reserve the right to file divisional applications on the remaining subject matter.

In accordance with M.P.E.P. 821.04 and In re Ochiai, 71 F.3d 1565, 37 USPQ 1127 (Fed. Cir. 1995), rejoinder of product claims and method of use claims commensurate in scope with the allowed product claims will occur following a finding that the product claims are allowable. Until such time, a restriction between product claims and process is deemed proper.

The restriction requirement and the objections of the claims as set forth in the Office Action mailed March 09, 2004 is maintained.

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a petition under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Applicants preserve their right to file a divisional on the non-elected subject matter.

# Telephone Inquiry

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kamal A Saced whose telephone number is (571) 272-0705. The examiner can normally be reached on M-T 7:00 AM- 5:30 PM.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mr. Joseph K. McKane, can be reached at (571) 272-0699.

Communication via Internet e-mail regarding this application, other than those under 35 U.S.C. 132 or which otherwise require a signiture, may be used by applicant and should be addressed to [joseph.mckane@uspto.gov]. All Internet e-mail communications will be made of record in the application file. PTO employees will not communicate with applicant via Internet e-mail where sensitive data will be exchanged or where there exists a possibility that sensitive data could be identified unless there is of record an express waiver of the confidentiality requirements under 35 U.S.C. 122 by the applicant. See the Interim Internet Usage Policy published by the Patent and Trademark Office Official Gazette on February 25, 1997 at 1195 OG 89.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or public PAIR only. For more information about the pair system, see <a href="http://pair-direct.uspto.gov">http://pair-direct.uspto.gov</a>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197.

Kamal Saeed, Ph.D.,

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Patent Examiner, AU 1626